

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant: BUCZAK ET AL. Examiner: TBD  
Serial No.: 09/893,108 Group Art Unit: 2122  
Filed: JUNE 27, 2001 Docket: H0001421(M&G 13358.11USU1)  
Title: GENETIC ALGORITHM OPTIMIZATION METHOD

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on October 19, 2001.

By: Victoria Hanson

Victoria Hanson

MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Submission of Formal Drawings, 8 Sheets of Formal Drawings
- ☒ Check(s) in the amount of \$130.00 for surcharge fee
- ☒ Other: Notice to File Missing Parts of Nonprovisional Application; Associate Power of Attorney; Request for Corrected Filing Receipt
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

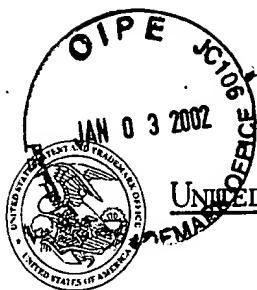
By: Anna M. Nelson

Name: Anna M. Nelson

Reg. No.: 48,935

AMN/vh





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,108	06/27/2001	Anna L. Buczak	H000142 (M&G) 13358.11USU1

CONFIRMATION NO. 4900

## FORMALITIES LETTER



\*OC000000006472350\*

23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

Date Mailed: 08/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

01/09/2002 MBERHE 00000008 09893108 130.00 0P  
01 FC:105

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2020-08-06 10:00:00

S/N 09/893,108

*#4*  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Buczak et al.	Examiner:	TBD
Serial No.:	09/893,108	Group Art Unit:	2122
Filed:	June 27, 2001	Docket No.:	H0001421 (M&G 13358.11USU1

Title: GENETIC ALGORITHM OPTIMIZATION METHOD

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 19, 2001.

*Victoria Hanson*  
Victoria Hanson

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed: The spelling of second inventor's name is incorrect.

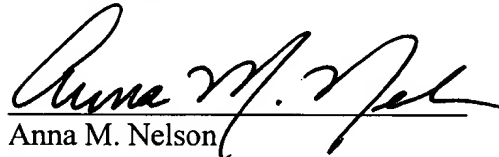
The correction should read: Henry (Hui) Wang.

Correction of the records of the United States Patent and Trademark Office and  
issuance of a corrected filing receipt are respectfully solicited.

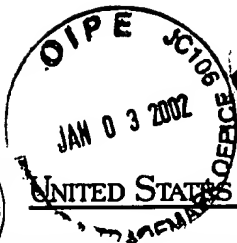
Respectfully submitted,

MERCHANT & GOULD P.C.  
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Minneapolis, MN 55402-0903  
612/332-5300

Date: October 19, 2001

  
Anna M. Nelson  
Reg. No. 48,935  
AMN/vh





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/893,108	06/27/2001	2122	1562	H000142 (M&G) 13358.11USU1 ✓	11	54	6

23552 JJG  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 4900

## FILING RECEIPT



\*OC000000006472349\*

Date Mailed: 08/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Anna L. Buczak, Croton-On-Hudson, NY;  
Henry (Hui) Wang, Piscataway, NJ;

Henry

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/282,366 04/06/2001

## Foreign Applications

If Required, Foreign Filing License Granted 08/23/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Genetic algorithm optimization method

## Preliminary Class

706

Data entry by : ALEMEWORK, SELAMAWIT

Team : OIPE

Date: 08/24/2001

